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PATENT

Group Docket No. 7444.0001  
GROUP 2700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael SATOW et al.

Serial No.: 09/376,346

Group Art Unit: 2761

Filed: August 18, 1999

Examiner: UNASSIGNED

For: A REAL-TIME COMPUTERIZED  
STOCK TRADING SYSTEM

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Each document listed in this Information Disclosure Statement was cited in communications from the European Patent Office in counterpart foreign applications of related U.S. applications. Copies of the Search Reports are enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim



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in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By: 

Kimani P. Clark  
Reg. No. 45,969

Date: June 13, 2000

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N.W.  
WASHINGTON, DC 20005  
202-408-4000